UNITED STATES OF AMERICA before the COMMODITY FUTURES TRADING COMMISSION

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In the Matter of:	·)	
Whistleblower Award Application of	Applicant)	CIFIC Whistleblower Award Determination No. 16-WB-09
- Form WB-APP)	

ORDER

Attached is the Commodity Futures Trading Commission Determination pertaining to the whistleblower award application of Applicant , filed on Form WB-APP referenced above.

Pursuant to the Commission's Whistleblower Rules (17 C.F.R. Part 165 (2015)), the Whistleblower Award Determination Panel is authorized to make whistleblower award determinations for the Commission. Therefore, as set forth in the attached, it is the Determination and Final Order of the Commission that this whistleblower award claim be denied.

In accordance with 7 U.S.C. § 26(f) (2012) and 17 C.F.R. § 165.13 (2015), if you wish to appeal this Final Commission Determination and Order, you must appeal to the appropriate court of appeals of the United States not more than 30 days after this Final Order of the Commission is issued.

Decision Issued:

731.4 0.1

Robert Sidman

Deputy Secretary of the Commission Commodity Futures Trading Commission

1155 21st Street, N.W. Washington, DC 20581

PUBLIC VERSION

UNITED STATES OF AMERICA before the COMMODITY FUTURES TRADING COMMISSION

In the Matter of:			
Whistleblower Award Application of	Applicant)	CFTC Whistleblower Award Determination No. 16-WB-09
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WHISTLEBLOWER AWARD DETERMINATION

Before the Whistleblower Award Determination Panel of the Commodity Futures

Trading Commission ("Commission") is the whistleblower award claim of Applicant

Applicant filed on Form WB-APP , referenced above.

IT IS HEREBY DETERMINED that:

- award application is denied because it fails to meet the requirements of Section 23 of the Commodity Exchange Act ("CEA") (7 U.S.C. § 26) and/or the Whistleblower Rules (17 C.F.R. § 165). Although voluntarily provided the Commission with original information in the manner required by the Commission, did not provide information that lead to a successful enforcement action or a successful related action.

 See 17 C.F.R. § 165.5(a)(3).
- 2. As detailed in the Declaration of CFTC Division of Enforcement ("DOE") Trial

 Attorney that led the Commission to file an action in relevant covered judicial action
 - a. The matter was already under investigation when contacted the Commission.

- b. While provided documents to DOE and communicated with DOE staff by e-mail and telephone, the information that provided was either already known to the Commission or was information that did not materially add to the Information that the Commission already possessed. No information provided caused the Commission to inquire about conduct different than the conduct already subject to the ongoing investigation. Furthermore Applicant information did not significantly contribute to the success of the action.
- 3. Accordingly, upon due consideration of the factors listed in section 17 C.F.R. § 165, it is hereby DETERMINED that the award application be denied.

Dated: July 19, 2016

Whistleblower Award Determination Panel Commodity Futures Trading Commission 1155 21st Street, N.W. Washington, D.C. 20581